



22912 U.S. PTO

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22390 U.S. PTO  
10/743853



**MAIL STOP PATENT APPLICATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

***REQUEST FOR FILING A CONTINUATION OR DIVISION  
OF AN INTERNATIONAL APPLICATION***

Sir:

This is a request for filing a *continuation* application under 37 C.F.R. 1.53(b), of pending prior international application number PCT/EP02/06768 filed on June 19, 2002 which designated the United States.

Inventor(s):

**Dirk HERKE** whose address is **Sulzwiesenstrasse 18, 72622 Nuertingen, Germany**  
and whose citizenship is: **Germany**

Title: **CONTROL DEVICE FOR DC MOTORS**

**APPLICATION ELEMENTS:**

- (1) ☒ Patent Application Specification, including Abstract and claims - **38** pages
- (2) ☒ **Twelve (12)** sheet(s) of **formal drawings**, together with transmittal letter
- (3) ☒ A check in the amount of **\$922.00** to cover the ☒ filing fee(\$ 842.00 ),  
☒ Assignment Recordal Fee (\$40.00) and ☒ Merger Documentation Recordal  
Fee (\$40.00) is enclosed.
  - ☐ Before calculating the fee, cancel claim(s)
  - ☐ Before calculating the fee, see copy of Preliminary Amendment filed.

Basic Fee							\$	770.00
Multiple Dependent Claims (\$260.)								
Foreign Language Surcharge (\$130.)								
	For	No. Filed	-	No. Extra		Rate		
EXTRA	Total Claims	24	20	4	x	\$18.	=	\$ 72.00
CLAIMS	Independent Claims	1	3	0	x	\$86.	=	\$ 0.00
<b>TOTAL FILING FEE</b>							=	\$ 842.00

☒ The Commissioner is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. 50-0625.

- (4) ☒ Declaration and Power of Attorney form -- 2 pages
- a. ☒ Newly executed
  - b. ☐ Copy from a prior application
  - c. ☐ Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.
- (5) ☐ Small Entity Status

**ACCOMPANYING APPLICATION PARTS:**

- (6) ☒ Assignment document
- a. ☒ Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595
  - b. ☐ Copy from a prior application
  - c. ☒ Please include assignee information when this application is published  
**Assignee: Alcoa Fujikura Gesellschaft mit beschraenkter Haftung**  
**Benzstrasse 2**  
**72636 Frickenhausen**  
**Germany**
- (7) ☒ Merger documentation (with \$40.00 recordal fee) and separate transmittal Form PTO-1595. The merger documentation is being recorded because the priority document herewith indicates the Assignee's prior name AFL Germany Electronics GmbH.
- (8) ☒ Certified Copy of Priority Document, together with separate transmittal letter

- (9) ☐ Information Disclosure Statement, together with PTO Form 1449 and copies of cited references
- (10) ☒ Return receipt postage prepaid postcard
- (11) ☒ Express Mail Certificate (Mailing Label No. EV129898066 US)
- (12) ☒ Application Data Sheet
- (13) ☐ Other:

- 
- (14) ☒ Please amend the specification by inserting before the first line the sentence:

**"This application is a continuation of international application number PCT/EP02/06768 filed on June 19, 2002."**

- (15) ☒ This application claims the benefit of German Patent Application No. 101 32 909.1 filed June 26, 2001.
- (16) ☒ Correspondence address:

**Barry R. Lipsitz  
Law Offices of Barry R. Lipsitz  
755 Main Street, Building 8  
Monroe, CT 06468  
Telephone: (203) 459-0200**

- (17) ☒ Pursuant to the provisions of 37 C.F.R. Sections 1.10 and 1.53, Applicant respectfully requests that this application be assigned a serial number and a filing date of December 22, 2003, the date upon which the application was mailed to the Patent and Trademark Office by Express Mail (No. EV129898066 US).

Respectfully submitted,



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Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Bldg. 8  
Monroe, CT 06468  
(203) 459-0200

**ATTORNEY DOCKET NO.: HOE-792  
Date: December 22, 2003**

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Dirk HERKE )  
Filed: Herewith )  
For: **CONTROL DEVICE FOR DC MOTORS** )

**EXPRESS MAIL CERTIFICATE**

**MAIL STOP PATENT APPLICATION**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

"Express Mail" mailing label number **EV129898066 US**

Date of Deposit: **December 22, 2003**

I hereby certify that the attached:

- [ x ] Return receipt postage prepaid postcard;
- [ x ] Check in the amount of \$922.00 (Filing fee (\$842.00) and recordal fees (\$80));
- [ x ] Transmittal Letter (Request for Filing a Continuation or Division of an International Application), with attachment - 3 pages total;
- [ x ] Application Data Sheet;
- [ x ] Patent Application Specification including Abstract and Claims (38 pages);
- [ x ] Twelve (12) sheets of formal drawings, together with transmittal letter;
- [ x ] Declaration and Power of Attorney form;
- [ x ] Assignment, together with Form PTO-1595;
- [ x ] Merger documentation, together with PTO-1595;
- [ x ] Certified copy of priority document (German patent application no. 101 32 909.1), together with transmittal letter.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and 1.53 on the date indicated above and is addressed to: **MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Carol Prentice  
(Typed or printed name of person mailing paper or fee)

*Carol Prentice*  
(Signature of person mailing paper or fee)

Respectfully submitted,

Date: **December 22, 2003**  
ATTORNEY DOCKET NO.: **HOE-792**

*Barry R. Lipsitz*  
Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Bldg. 8  
Monroe, CT 06468  
(203) 459-0200

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Dirk HERKE )  
Application No.: )  
Filed: Herewith )  
For: **CONTROL DEVICE FOR DC MOTORS** )

**DRAWING REVIEW BRANCH**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EV129898066 US) addressed to MAIL STOP PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 22, 2003.

By: Carol Prentice  
Carol Prentice

**TRANSMITTAL OF FORMAL DRAWINGS**

Dear Sir:

Enclosed are twelve (12) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,

Date: December 22, 2003  
**ATTORNEY DOCKET NO.: HOE-792**

Barry R. Lipsitz  
Barry R. Lipsitz  
Attorney for Applicant(s)  
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